# ENVIRONMENTAL SAMPLING, ANALYSIS AND RESULTS: ANALYSIS AND RESULTS

Standard No.: EX000005.1

January 6, 2006

This data standard is produced by the Environmental Data Standards Council (EDSC)

The Environmental Data Standards Council (EDSC) is a partnership among US EPA, States and Tribal partners to promote the efficient sharing of environmental information through the development and adoption of data standards. More information about the EDSC is available at <a href="https://www.envdatastandards.net">www.envdatastandards.net</a>.

#### **Foreword**

The Environmental Data Standards Council identifies, prioritizes and pursues the creation of data standards for those areas where information exchange standards will provide the most value in achieving environmental results. The Council involves Tribes and Tribal Nations, state and federal agencies in the development of the standards and then provides the draft materials for general review. Business groups, non-governmental organizations, and other interested parties may then provide input and comment for Council consideration and standard finalization. Draft and final standards are available at <a href="http://www.envdatastandards.net">http://www.envdatastandards.net</a>.

#### 1.0 INTRODUCTION

This Environmental Sampling, Analysis Results (ESAR): Analysis and Results Data Standard includes the data groupings and elements required for describing analysis and results information for samples. It provides information about the laboratory, laboratory batch receipt, laboratory sample receipt, the sample preparation, the laboratory analysis, and the quality control data.

#### 1.1 Scope

This standard provides and describes elements and data groupings that are used to catalogue and exchange information about sample analysis and results.

## 1.2 Revision History

Date	Version	Description	
January 6, 2006	EX000005.1	Initial Environmental Data Standards Council Adoption	

#### 1.3 References to Other Data Standards

This standard relies on other standards to make it complete and provide the necessary support. As such users should consider the references to other data standards noted below as integral to the ESAR: Analysis and Results Data Standard. These include:

- Biological Taxonomy [EX000018.2] Data Standard
- Chemical Identification [EX000016.2] Data Standard
- Contact Information [EX000019.2] Data Standard
- Attached Binary Object [EX000006.1] Data Standard
- Compositing [EX000008.1] Data Standard
- Equipment [EX000009.1] Data Standard
- Measure [EX000010.1] Data Standard
- Method [EX000011.1] Data Standard
- Sample Handling [EX000014.1] Data Standard
- Representation of Date and Time [EX000013.1] Data Standard

#### 1.4 Terms and Definitions

For the purposes of this document, the following terms and definitions apply.

<u>Term</u>	<u>Definition</u>
Laboratory	A mobile or fixed facility equipped for testing and analysis.
Laboratory Analysis	Analytical results that are generated in the field from continuous or discrete observation /monitoring and/or from mobile or fixed laboratory facilities.

#### 1.5 Implementation

Users are encouraged to use the XML registry housed on the Exchange Network Web site to download schema components for the construction of XML schema flows (<a href="http://www.exchangenetwork.net">http://www.exchangenetwork.net</a>).

#### 1.6 Document Structure

The structure of this document is briefly described below:

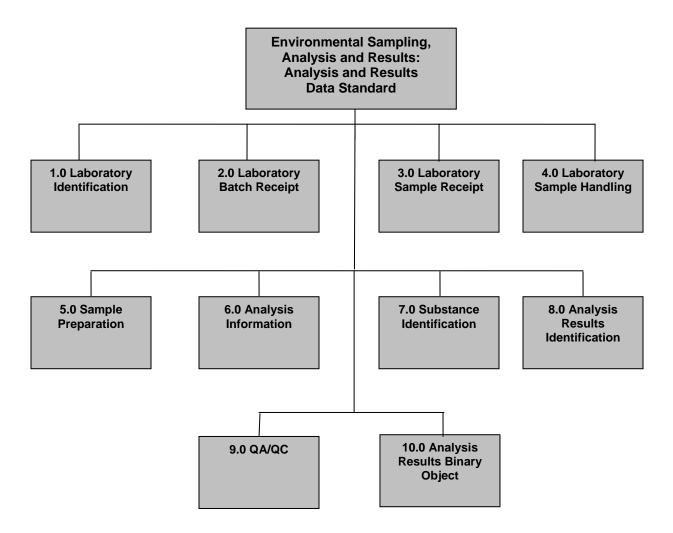
- a. Section 2.0 ESAR: Analysis and Results Diagram illustrates the principal data groupings contained within this standard.
- b. Section 3.0 ESAR: Analysis and Results Table provides information on the high level, intermediate and elemental Analysis and Results data groupings. Where applicable, for each level of this data standard, a definition, XML tag, note(s), example list of values and format are provided. The format column may include "A" to specify alphanumeric, "N" to designate numeric, "G" to denote a grouping, and "D" for time and date formats referenced in the Representation of Date and Time Data Standard.
- c. Data Element Numbering: For purposes of clarity and to enhance understanding of data standard hierarchy and relationships, each data group is numerically classified from the primary to the elemental level.
- d. Code and Identifier Metadata: Metadata, defined here as data about data or data elements, includes their descriptions and/or any needed context setting information required to identify the origin, conditions of use, interpretation, or understanding the information being exchanged or transferred. (Adapted from ISO/IEC 2382-17:1999 Information Technology Vocabulary—Part 17: Databases 17.06.05 metadata). Based on the business need, additional metadata may be required to sufficiently describe an identifier or a code. A note regarding this additional metadata is included in the notes column for identifier and code elements. Additional metadata for identifiers may include:
  - Code List Identifier, which is a standardized reference to the context or source of the set of codes

Additional metadata for codes may include:

- Code List Identifier, which is a standardized reference to the context or source of the set of codes
- Code List Version Identifier, which identifies the particular version of the set of codes.
- Code List Version Agency Identifier, which identifies the agency responsible for maintaining the set of codes
- Code List Name, which describes the corresponding name for which the code represents
- e. Appendix A, ESAR: Analysis and Results Structure Diagram, illustrates the hierarchical classification of the data standard. This diagram enables business and technical users of this standard to quickly understand its general content and complexity. Appendix B, lists the references for the ESAR Analysis and Results Data Standard.

# 2.0 ENVIRONMENTAL SAMPLING, ANLYSIS AND RESULTS: ANALYSIS AND RESULTS DIAGRAM

This diagram specifies the major data groups that may be used to identify the characteristics and/or to catalog ESAR: Analysis and Results.



# 3.0 ENVIRONMENTAL SAMPLING, ANALYSIS AND RESULTS: ANALYSIS AND RESULTS DATA STANDARD TABLE

## 1.0 Laboratory Identification

Definition: Identifying information of the entity or person responsible for the analysis.

Relationships: None. Notes: None.

XML Tag: Laboratoryldentification

Data Element Name	Data Element Definitions	Notes	Format	XML Tags
1.1 Laboratory Identifier	A designator used to uniquely identify the laboratory doing the analysis.	Note: Based on the business need, additional metadata may be required to sufficiently describe an identifier. This additional metadata is described in the Introduction section 1.6.d.	A	Laboratorylde ntifier
1.2 Laboratory Organization Contact	The principal organization to contact with questions about this laboratory	Refer to the Contact Information [EX000019.2] Data Standard.	G	LaboratoryOr ganizationCo
	analysis data.	The following items may be needed:		ntact
		Individual Full Name Organization Formal Name Affiliation Type Mailing Address Supplemental Address Text Mailing Address City Name Mailing Address State Name Mailing Address State Code Mailing Address Country Name, Mailing Address Country Code Mailing Address Country Code Mailing Address Zip Code/International Postal Code Telephone Number Telephone Number Type name Electronic Address Text Electronic Address Type Name		

January 6, 2006 Page 5 Page 5

Data Element Name	Data Element Definitions	Notes	Format	XML Tags
1.3 Laboratory Type Text	The classification of the laboratory.	<ul> <li>Example List of Values:</li> <li>Continuous monitoring</li> <li>Field</li> <li>Mobile</li> <li>Fixed</li> </ul>	A	LaboratoryTy peText
1.4 Laboratory Accreditation Authority Name	An outside accreditation authority identifier.	Example List of Values:  NELAP A2LA Nebraska DEQ	А	LaboratoryAc creditationAut horityName
1.5 Laboratory Accreditation Identifier	The number given to the laboratory by the accreditation authority.	Example List of Values:	A	LaboratoryAc creditationIde ntifier

# 2.0 Laboratory Batch Receipt

Definition: Information concerning the arrival of a batch of samples to the lab.

Relationships: None. Notes: None.

XML Tag: LaboratoryBatchReceipt

Data Element Name	Data Element Definitions	Notes	Format	XML Tags
2.1 Batch Receipt Identifier	A designator assigned by the laboratory used to identify a group of samples received by the laboratory that will allow the batch to be linked with the applicable sample, monitoring location, project, and result.	Note: Based on the business need, additional metadata may be required to sufficiently describe an identifier. This additional metadata is described in the Introduction section 1.6.d.	Α	BatchReceiptI dentifier

January 6, 2006 Page 6 Page 6

Data Element Name	Data Element Definitions	Notes	Format	XML Tags
2.2 Batch Recipient	An identifier or name of the person accepting the batch.	Refer to the Contact Information [EX000019.2] Data Standard.	G	BatchRecipie nt
		The following items may be needed:		
		Individual Full Name Organization Formal Name Affiliation Type Mailing Address Supplemental Address Text Mailing Address City Name Mailing Address State Name Mailing Address State Code Mailing Address Country Name, Mailing Address Country Code Mailing Address Zip Code/International Postal Code Telephone Number Telephone Number Type name Electronic Address Text Electronic Address Type Name		
2.3 Batch Received Date	The calendar date when the batch was accepted at the laboratory.	Reported as 4-digit year, 2-digit month, and 2-digit day.  The Representation of Date and Time [EX000013.1] Data Standard will apply anytime a date is reported.	D	BatchReceive dDate
2.4 Batch Received Time	The local time when the batch was accepted at the laboratory.	The Representation of Date and Time [EX000013.1] Data Standard will apply anytime a date is reported.	D	BatchReceive dTime
2.5 Number of Shipping Containers Received	The quantity of shipping containers received within a batch.		N	NumberShipp ingContainers ReceivedNu meric

Data Element Name	Data Element Definitions	Notes	Format	XML Tags
2.6 Sample Count Received in Batch Text	The quantity of samples in the batch (each with a unique Sample Identifier from Field Activity 7.1) received by the laboratory.	<ul><li>Example List of Values:</li><li>10 amber jars</li></ul>	А	SamplesCou ntReceivedIn BatchText
2.7 Batch Receipt Exception Indicator	A flag indicating an exception to the condition or expected batch receipt procedures that might affect analytical results.	Permitted List of Values:  • Y - yes  • N - no	А	BatchReceipt ExceptionIndi cator
2.8 Batch Receipt Comment Text	Text describing the reason for the "Batch Receipt Exception Indicator" being set to Y, or other characteristics of the batch that should be noted if the "Batch Receipt Exception Indicator" being set to N.	This will be required if the "Batch Receipt Exception Indicator" data element is "Y".  Example List of Values:  Cooler seals broken upon arrival	А	BatchReceipt CommentTex t

# 3.0 Laboratory Sample Receipt

Definition: Information concerning the receipt and condition of sample(s) in a batch by the laboratory.

Relationships: None. Notes: None.

XML Tag: LaboratorySampleReceipt

Data Element Name	Data Element Definitions	Notes	Format	XML Tags
3.1 Laboratory Sample Identifier	A designator assigned to a sample by the laboratory that will ensure that each received sample can be linked with the applicable monitoring location, project and result.	Note: Sample Identifier from Field Activity 7.1, must be linked to this element.  Note: Based on the business need, additional metadata may be required to sufficiently describe an identifier. This additional metadata is described in the Introduction section 1.6.d.	A	LaboratorySa mpleIdentifier

January 6, 2006 Page 8 Page 8

# 3.2 Sample Receipt Condition

Definition: Identifying information on the acceptability of sample condition and preservation upon receipt at laboratory.

Relationships: None.

Notes: None.

XML Tag: SampleReceiptCondition

Data Element Name	Data Element Definitions	Notes	Format	XML Tags
3.2.1 Condition Measured Text	Identifies observations or measurements noted for the sample when received.	<ul><li>Example List of Values:</li><li>Temperature</li><li>pH</li><li>Chlorine residual</li></ul>	A	ConditionMea suredText
3.2.2 Condition Measurement	Quantitative measurement of the condition being determined.	Refer to the Measure [EX000010.1] Data Standard.  The following items may be needed:  Measure Value,  Measure Unit Code,  Measure Qualifier Code  Measure QA/QC	G	ConditionMea surement
3.2.3 Container Receipt Condition Comment Text	Text that describes any observable problems with the sample's condition as received by the laboratory.	Sample jar was cracked from partial thaw in cooler	A	ContainerRec eiptCondition CommentTex t

# 4.0 Laboratory Sample Handling

Definition: Identifying information on the sample handling procedures performed in the laboratory prior to preparing a sample for

analysis

Relationships: None.

Notes: Note 1: Multiple treatments may be identified. Example treatment types include: freezing, homogenization, centrifugation,

filtration or chemical surrogate addition.

Note 2: Sample handling is distinct from sample preparation.

Note 3: Reference the Sample Handling [EX000014.1] Data Standard

The following items may be needed:

Sample Handling Method Sample Handling Amount Sample Handling Date/Time Chemical Preservative Used Thermal Preservative Used

*Note 4*: When laboratory sample handling procedures involve sample compositing, additional data tracking may be required to fully capture the field composite activities and composite components. Please refer to the Compositing [EX000008.1] Data Standard for these additional data elements.

XML Tag: LaboratorySampleHandling

# 5.0 Sample Preparation

Definition: Information related to the preparation of the sample for analysis.

Relationships: None. Notes: None.

XML Tag: SamplePreparation

Data Element Name	Data Element Definitions	Notes	Format	XML Tags
5.1 Preparation Contact	The name or code representing the person who can be contacted for information concerning the preparation of the sample.	Refer to the Contact Information [EX000019.2] Data Standard.  The following items may be needed: Individual Full Name Organization Formal Name Affiliation Type Mailing Address Supplemental Address Text Mailing Address City Name Mailing Address State Name Mailing Address State Code Mailing Address Country Name, Mailing Address Country Name, Mailing Address Country Code Mailing Address Zip Code/International Postal Code Telephone Number Telephone Number Telephone Number Type name Electronic Address Text Electronic Address Type Name	G	PreparationC ontact

January 6, 2006 Page 10 Page 10

Data Element Name	Data Element Definitions	Notes	Format	XML Tags
5.2 Preparation Type Text	A client defined code or name used to define the type of preparation.	Note: This code is used to identify the specific preparation procedure used.	А	PreparationT ypeText
		<ul><li>Example List of Values:</li><li>Extraction</li><li>Digestion</li><li>Clean-up</li></ul>		
5.3 Sample Preparation Method	Identifying information about the method(s) followed to prepare a sample for analysis.	Refer to the Method [EX000011.1] Data Standard.  The following items may be needed:  Method Identifier  Method Name  Method Description Text  Method Deviation  Method Reference	G	SamplePrepa rationMethod
5.4 Preparation Batch Identifier	A designator assigned by the laboratory to uniquely identify a batch of samples that are prepared together for analysis by one method.	Example List of Values:  • MB-VOA-20031115  Note: Together it can imply similarity of time, place or manner of preparation. The identifier will ensure that each received sample and subsequent results will be related to the monitoring location and project if applicable.  Note: Based on the business need, additional metadata may be required to sufficiently describe an identifier. This additional metadata is described in the Introduction section 1.6.d.	A	PreparationB atchIdentifier

January 6, 2006 Page 11 Page 11

Data Element Name	Data Element Definitions	Notes	Format	XML Tags
5.5 Preparation Start Date	The calendar date of the preparation/extraction of the sample	Note: If the sample was prepared over a range of dates, this is the start date.	D	PreparationSt artDate
	for analysis began.	Reported as 4-digit year, 2-digit month, and 2-digit day.		
		The Representation of Date and Time [EX000013.1] Data Standard will apply anytime a date is reported.		
5.6 Preparation Start Time	The local time when the preparation/extraction of the sample for analysis began.	Note: If the sample was prepared over a range of time, this is the start time.	D	PreparationSt artTime
		The Representation of Date and Time [EX000013.1] Data Standard will apply anytime a date is reported.		
5.7 Preparation End Date	The calendar date when the preparation/extraction of the sample for analysis was finished.	Note: If the sample was prepared/extracted over a range of dates, this is the end date.	D	PreparationE ndDate
		Reported as 4-digit year, 2-digit month and 2-digit day.		
		The Representation of Date and Time [EX000013.1] Data Standard will apply anytime a date is reported.		
5.8 Preparation End Time	The local time when the preparation/extraction of the sample	Note: If the sample was prepared/extracted over a range of times, this is the end time.	D	PreparationE ndTime
	for analysis was finished.	The Representation of Date and Time [EX000013.1] Data Standard will apply anytime a date is reported.		
5.9 Sample Preparation Initial Amount	The initial amount (weight or volume) of sample subjected to preparation.	Refer to the Measure [EX000010.1] Data Standard.	G	SamplePrepa rationInitialA
		The following items may be needed:		mount
		Measure Value Measure Unit Code Measure Qualifier Code Measure QA/QC		

January 6, 2006 Page 12 Page 12

Data Element Name	Data Element Definitions	Notes	Format	XML Tags
5.10 Sample Preparation Final Amount	The final amount (weight or volume) of sample remaining as the result of preparation step(s).	Refer to the Measure [EX000010.1] Data Standard.  The following items may be needed:  Measure Value Measure Unit Code Measure Qualifier Code Measure QA/QC	G	SamplePrepa rationFinalAm ount

# 6.0 Analysis Information

Definition: Identifying information on the analysis method and procedures for a specific sample or group of samples.

Relationships: None. Notes: None.

XML Tag: AnalysisInformation

January 6, 2006 Page 13 Page 13

Data Element Name	Data Element Definitions	Notes	Format	XML Tags
6.1 Analysis Contact	The name or code representing the person who can be contacted concerning information related to the analysis results.	Refer to the Contact Information [EX000019.2] Data Standard.  The following items may be needed:  Individual Full Name Organization Formal Name Affiliation Type Mailing Address Supplemental Address Text Mailing Address City Name Mailing Address State Name Mailing Address State Code	Format G	XML Tags  AnalysisCont act
		Mailing Address Country Name, Mailing Address Country Code Mailing Address Zip Code/International Postal Code Telephone Number Telephone Number Type name Electronic Address Text Electronic Address Type Name  Note: Based on the business need,		
		additional metadata may be required to sufficiently describe an identifier. This additional metadata is described in the Introduction section 1.6.d.		

January 6, 2006 Page 14 Page 14

Data Element Name	Data Element Definitions	Notes	Format	XML Tags
6.2 Analysis Batch Identifier	A designator assigned by the laboratory used to uniquely identify a batch of analyses performed on one instrument associated with the level of detail at which the instrument is checked to be in control.	Example List of Values:  • 26C030598  Note: A workgroup ID for analyses QC'd by the same continuing calibration, continuing calibration verification, or similar instrument QC. If multiple batches are analyzed in a continuous sequence, this represents the start of any given analysis batch. The identifier will ensure that each received sample and subsequent results will be related to the monitoring location and project if applicable.	A	AnalysisBatc hIdentifier
		Note: Based on the business need, additional metadata may be required to sufficiently describe an identifier. This additional metadata is described in the Introduction section 1.6.d.		
6.3 Sample Analytical Method	Identifying information on the sample analysis method procedures.	Reference the Method [EX000011.1] Data Standard.  The following items may be needed:	G	SampleAnalyt icalMethod
		Method Identifier Method Name Method Description Text Method Deviation Method Reference		

January 6, 2006 Page 15 Page 15

Data Element Name	Data Element Definitions	Notes	Format	XML Tags
6.4 Analysis Equipment		Refer to the Equipment [EX000009.1] Data Standard.	G	AnalysisEqui pment
		The following items may be needed:		
		Equipment Identifier Equipment Name Equipment Description Equipment Type Equipment Characteristics Equipment Calibration		
		Example List of Values:		
		• ICP		
		GC/MS-Lab 1		
6.5 Analysis Group Type Text	commonly reported together either for	Also potentially called "Group Test Code" or "Test Identifier Text".	А	AnalysisGrou pTypeText
		Example List of Values:		
	'	• VOA		
		RCRA 8 metals		
		Nutrients		
6.6 Analysis Matrix Text	Name, code, or description of the matrix of the sample analyzed.	<ul><li>Example List of Values:</li><li>Liquid</li><li>Solid</li><li>Gaseous</li></ul>	А	AnalysisMatri xText
		<ul><li>Biota</li><li>Tissue</li></ul>		

January 6, 2006 Page 16 Page 16

Data Element Name	Data Element Definitions	Notes	Format	XML Tags
6.7 Sample Analyzed Amount	The amount (weight or volume) of a prepared extract that is used for	Refer to the <b>Measure [EX000010.1] Data Standard.</b>	G	SampleAnaly zedAmount
	analysis.	The following items may be needed:		
		Measure Value Measure Unit Code Measure Qualifier Code Measure QA/QC		
6.8 Analysis Start Date	The calendar date when the analysis began.	Reported as 4-digit year, 2-digit month, and 2-digit day.	D	AnalysisStart Date
		The Representation of Date and Time [EX000013.1] Data Standard will apply anytime a date is reported.		
6.9 Analysis Start Time	The local time when the analysis began.	The Representation of Date and Time [EX000013.1] Data Standard will apply anytime a date is reported.	D	AnalysisStart Time
6.10 Analysis End Date	The calendar date when the analysis was finished.	Reported as 4-digit year, 2-digit month, and 2-digit day.	D	AnalysisEndD ate
		The Representation of Date and Time [EX000013.1] Data Standard will apply anytime a date is reported.		
6.11 Analysis End Time	The local time when the analysis was finished.	The Representation of Date and Time [EX000013.1] Data Standard will apply anytime a date is reported.	D	AnalysisEndT ime
6.12 Analysis Comments Text	General comments for the analysis, not necessarily related to a particular substance.	Noticed sample darkened in color after sitting for 18 hours	A	AnalysisCom mentsText

January 6, 2006 Page 17 Page 17

#### 7.0 Substance Identification

Definition: Identification information for a chemical, biological, or radiological substance or other entity included in the analysis.

Relationships: None.

Notes: Multiple values may be allowed.

For additional detailed data tracking needs specific to a chemical or biological substance, refer to the Chemical

Identification [EX000016.2] Data Standard and Biological Taxonomy [EX000018.2] Data Standard.

XML Tag: SubstanceIdentification

Data Element Name	Data Element Definitions	Notes	Format	XML Tags
7.1 Substance Identifier	A designator used to uniquely identify a substance.	Example List of Values:  • 71-43-2  • 7440-38-2  Note: Refer to the Chemical Identification Data Standard and the Biological Identification Data Standard  Note: Based on the business need, additional metadata may be required to sufficiently describe an identifier. This additional metadata is described in the Introduction section 1.6.d.	A	SubstanceIde ntifier
7.2 Substance Name	The name assigned to a chemical, biological or radiological substance or feature that describes it in terms of its molecular composition, taxonomic nomenclature or other characteristic.	Example List of Values:  • Benzene • Arsenic  Note: Refer to the Chemical Identification [EX000016.2] Data Standard and Biological Taxonomy [EX000018.2] Data Standard.	G	SubstanceNa me

# 8.0 Analysis Results Identification

Definition: The report of the results of the laboratory analysis.

Relationships: None. Notes: None.

XML Tag: AnalysisResultsIdentification

January 6, 2006 Page 18 Page 18

Data Element Name	Data Element Definitions	Notes	Format	XML Tags
8.1 Test Result Type	Indicator of the kind of test result being reported.	<ul> <li>Example List of Values:</li> <li>Analytical target</li> <li>Analytical surrogate</li> <li>Field</li> <li>Tentatively Identified Compounds</li> <li>Derived data</li> </ul>	А	TestResultTy pe
8.2 Result Value Measure	The reportable measure of the result for the chemical, microbiological or other characteristic being analyzed.	Reference the Measure [EX000010.1] Data Standard.  The following items may be needed:  Measure Value Measure Unit Code Measure Qualifier Code Measure QA/QC	G	ResultValueM easure
8.3 Result Basis Category	Type of result basis.	<ul> <li>Example List of Values:</li> <li>Fraction</li> <li>Particle Size</li> <li>Weight</li> <li>Note: Multiples of Result Basis Category and Result Basis Name may be allowed.</li> </ul>	A	ResultBasisC ategoryType
8.4 Result Basis Name	The basis upon which the results were calculated, within the Result Basis Category.	<ul> <li>Example List of Values:</li> <li>Wet</li> <li>Dry</li> <li>Total</li> <li>Dissolved</li> <li>Note: Multiples of Result Basis Category and Result Basis Name may be allowed.</li> </ul>	A	ResultBasisN ame

January 6, 2006 Page 19 Page 19

Data Element Name	Data Element Definitions	Notes	Format	XML Tags
8.5 Result Status Identifier	Indicates the acceptability of the result with respect to QA/QC criteria.	Example List of Values:	A	ResultStatusI dentifier
8.6 Result Status Authority Name	The person who indicates the acceptability of the data.		А	ResultStatus AuthorityNam e
8.7 Result Status Authority Type	The title or classification of a person who indicates the acceptability of the data.	<ul> <li>Example List of Values:</li> <li>QA Officer</li> <li>Laboratory Manager</li> <li>Independent Reviewer</li> <li>Customer Reviewer</li> </ul>	А	ResultStatus AuthorityType
8.8 Result Status Date	The date on which the person indicated the acceptability of the data.	The Representation of Date and Time [EX000013.1] Data Standard will apply anytime a date is reported	D	ResultStatus Date
8.9 Result Status Reason Text	Text description of the result status, potentially indicating why the result was rejected or accepted.	Example List of Values:  • Analyzed beyond holding time.	А	ResultStatus ReasonText

January 6, 2006 Page 20 Page 20

Data Element Name	Data Element Definitions	Notes	Format	XML Tags
8.10 Statistical Base Code	Identifier or code for the method used to calculate derived results.	Example List of Values:      ToxStat     Annual Average     90 <sup>th</sup> Percentile     Mean     Quarterly Basis     N/A  Note: Based on the business need, additional metadata may be required to sufficiently describe an identifier. This additional metadata is described in the Introduction section 1.6.d.	A	StatisticalBas eCode
8.11 Substance Dilution Factor Numeric	The overall dilution of the substance subjected to this analysis.	Note: A value of one corresponds to nominal conditions for the method. Values greater than one correspond to dilutions. Values less than one correspond to concentrations.  Example List of Values:  10 0.10	N	SubstanceDil utionFactorN umeric
8.12 Substance Analysis Comments Text	Comments related to the analysis of a particular substance.	Example List of Values:     TKN analysis run beyond hold time per client request	A	SubstanceAn alysisComme ntsText
8.13 Detection Limit	Constituent concentration that produces a signal sufficiently greater than the blank and can be detected within specified levels by good laboratories during routine operating conditions.	Refer to the Measure [EX000010.1] Data Standard.  The following items may be needed:  Measure Value Measure Unit Code Measure Qualifier Code Measure QA/QC	G	DetectionLimi t

January 6, 2006 Page 21 Page 21

Data Element Name	Data Element Definitions	Notes	Format	XML Tags
8.14 Detection Limit Type	One of a list of client, regulation, or organization defined acronyms or statistic methodologies that specify the type of detection limit used for analysis.	Instrument Detection Level (IDL)     Method Detection Level (MDL)     Estimated Detection Level     Limit of Detection     Long-term Method Detection Level     Other Entries as Applicable  Note: Based on the business need, additional metadata may be required to sufficiently describe a detection limit. This additional metadata is described in the Introduction section 1.6.d.	A	DetectionLimi tType
8.15 Reporting Limit	Constituent concentration that, when processed through the complete method, produces a signal that is statistically different from a blank.	Reference the Measure [EX000010.1] Data Standard.  The following items may be needed:  Measure Value Measure Unit Code Measure Qualifier Code Measure QA/QC	G	ReportingLimi t
8.16 Reporting Limit Type	One of a list of client, regulation, or organization defined acronyms or statistical methodologies that specify the type of reporting limit.	PQL     SQL     MRL  Note: Based on the business need, additional metadata may be required to sufficiently describe a reporting limit. This additional metadata is described in the Introduction section 1.6.d.	A	ReportingLimi tType

January 6, 2006 Page 22 Page 22

# 9.0 QA/QC

Definition: Technical, assessment, and reporting activities that ensure the results meet the user's defined standard of quality.

Relationships: None.
Notes: None.
XML Tag: QAQC

## 9.1 Batch QC

Definition: Quality control samples associated with a batch of samples.

Relationships: None.

Notes: None.

XML Tag: BatchQC

Data Element Name	Data Element Definitions	Notes	Format	XML Tags
9.1.1 Batch QC Type	Method defined name for QC related to a batch of samples.	<ul> <li>Example List of Values:</li> <li>Initial Calibration</li> <li>Lab Reagent Blank</li> <li>BFB Tune</li> <li>Continuing Calibration</li> <li>Verification</li> <li>Digestion Blank</li> <li>Matrix Spike</li> <li>Matrix Spike Duplicate</li> </ul>	A	BatchQCTyp e

January 6, 2006 Page 23 Page 23

Data Element Name	Data Element Definitions	Notes	Format	XML Tags
9.1.2 Batch QC Identifier	A designator assigned by the laboratory used to uniquely identify the QC samples associated with the batch.	The identifier will ensure that the QC can be related back to each associated sample in a batch of samples. This should be linked to the 3.1 Laboratory Sample Identifier.	A	BatchQClden tifier
		Example List of Values:		
		<ul> <li>An instrument generated data file name such as: 11200301</li> </ul>		
		<ul> <li>A lab assigned code such as: ICP112003-01MS, or ICP112003- 01MSD</li> </ul>		
		Note: Based on the business need, additional metadata may be required to sufficiently describe a reporting limit. This additional metadata is described in the Introduction section 1.6.d.		

# 9.2 QA/QC Analysis Results

Definition: The QA/QC related field pertaining to a particular substance determination within a sample.

Relationships: None. Notes: None.

XML Tag: QAQCAnalysisResults

Data Element Name	Data Element Definitions	Notes	Format	XML Tags
9.2.1 Spike Amount or Dose Added	Amount of spike material added for a specific substance of interest to a sample to determine substance recovery from a matrix.	Units for spike amount or dose added should be the same as those of the result value.  Reference the Measure [EX000010.1] Data Standard.	G	SpikeAmount DoseAdded
		The following items may be needed:		
		Measure Value Measure Unit Code Measure Qualifier Code Measure QA/QC		

January 6, 2006 Page 24 Page 24

Data Element Name	Data Element Definitions	Notes	Format	XML Tags
9.2.2 Original Sample Identifier	A designator used to uniquely identify the original sample that was selected to be the matrix spike or matrix spike duplicate so that its result value(s) can be linked to those of the spiked sample.	Note: Based on the business need, additional metadata may be required to sufficiently describe an identifier. This additional metadata is described in the Introduction section 1.6.d.	A	OriginalSamp leldentifier
9.2.3 QC Batch Exception Indicator	A flag indicating an exception to the quality control results.	List of Permitted Values:  • Y – yes  • N – no	А	QCBatchExc eptionIndicato r
9.2.4 QC Batch Exception Comments Text	Explanation of any QC anomalies.	Example List of Values:     Low MS recovery due to matrix interference	A	QCBatchExc eptionComme ntsText

## 10.0 Analysis Results Binary Object

Definition: Refer to documents, images, maps, photos, laboratory materials, geospatial coverages, and other objects within the

data submission that pertain to the laboratory analyses.

Relationships: None.

Notes: Refer to the **Attached Binary Object [EX00006.1] Data Standard**.

Multiple objects may be attached to data submission for the analyses included in the submission. Where a binary object is attached, both the type code and the title of the file must be provided. Attached Binary Object descriptors will

adhere to the specified technical standards.

XML Tag: AnalyticsResultsBinaryObject

January 6, 2006 Page 25 Page 25

# Appendix A Environmental Sampling, Analysis and Results: Analysis and Results Structure Diagram

**Environmental Sampling,** Analysis and Results: **Analysis and Results Data Standard** 

#### 1.0 Laboratory Identification

- 1.1 Laboratory Identifier
- 1.2 Laboratory Organization Contact
- 1.3 Laboratory Type Text
- 1.4 Laboratory Accreditation **Authority Name**
- 1.5 Laboratory Accreditation Identifier

- 2.1 Batch Receipt Identifier
- 2.3 Batch
- 2.4 Batch Received Time
- Shipping Containers Received
- Received in Batch Text
- 2.7 Batch Receipt Exception Indicator
- 2.8 Batch Receipt Comment Text

#### 2.0 Laboratory **Batch Receipt**

- 2.2 Batch Recipient
- Received Date
- 2.5 Number of
- 2.6 Sample Count

#### 3.0 Laboratory Sample

- Receipt 3.1 Laboratory Sample Identifier
- 3.2 Sample Receipt Condition
- 3.2.1 Condition Measured Text
- 3.2.2 Condition Measurement
- 3.2.3 Container Receipt Condition Comment Text

#### 4.0 Laboratory Sample Handling

# 5.0 Sample

- Preparation 5.1 Preparation Contact
- 5.2 Preparation Type Text
- 5.3 Sample Preparation Method
- 5.4 Preparation Batch Identifier
- 5.5 Preparation Start Date
- 5.6 Preparation Start Time
- 5.7 Preparation End Date
- 5.8 Preparation End Time
- 5.9 Sample Preparation Initial Amount
- 5.10 Sample Preparation Final Amount

#### 6.0 Analysis Information

- 6.1 Analysis Contact
- 6.2 Analysis Batch Identifier
- 6.3 Sample Analytical Method
- 6.4 Analysis Equipment
- 6.5 Analysis Group Type Text
- 6.6 Analysis Matrix Text
- 6.7 Sample Analyzed Amount
- 6.8 Analysis Start Date
- 6.9 Analysis Start Time
- 6.10 Analysis End Date
- 6.11 Analysis End Time 6.12 Analysis

7.0 Substance

7.1 Substance

7.2 Substance

Name

Identifier

Identification

Comments Text

#### 8.0 Analysis Results Identification

- 8.1 Test Result Type
- 8.2 Result Value Measure
- 8.3 Result Basis Category
- 8.4 Result Basis Name
- 8.5 Result Status
- 8.6 Result Status **Authority Name**
- 8.7 Result Status
- Authority Type 8.8 Result Status Date
- 8.9 Result Status
- Reason Text 8.10 Statistical Base
- Code 8.11 Substance Dilution
- Factor Numeric 8.12 Substance Analysis
- Comments Text
- 8.13 Detection Limit
- 8.14 Detection Limit Type 8.15 Reporting Limit
- 8.16 Reporting Limit Type

#### 9.0 QA/QC

- 9.1 Batch QC
- 9.1.1 Batch QC Type 9.1.2 Batch QC Identifier
- 9.2 QA/QC Analysis Results
- 9.2.1 Spike Amount or Dose Added
- 9.2.2 Original Sample Identifier
- 9.2.3 QC Batch Exception
- Indicator 9.2.4 QC Batch Exception Comments Text

10.0 Analysis Results Binary Object

January 6, 2006 Page 26 Page 26

# Appendix B References

i. ISO/IEC 2382-17:1999 Information Technology Vocabulary—Part 17: Databases 17.06.